



EXPRESS MAIL NO. EL791520183US Attorney Docket No. HAU234 Client/Matter No. 81250.0091.001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

David T. Bailey, et al.

Serial No. 09/943,158

Filed: August 30, 2001

For: EFFICIENT METHOD FOR PRODUCING COMPOSITIONS ENRICHED IN

ANTHOCYANINS

Group Art Unit: 1614

Examiner: Not Yet

Assigned

CERTIFICATE OF MAILING BY EXPRESS MAIL

Box MISSING PARTS Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

The undersigned hereby certifies that the following documents:

- 1. Notice to File Missing Parts of Nonprovisional Application;
- 2. Signed Declarations;
- 3. Fee Transmittal (in duplicate);
- 4. Check in the amount of \$65.00; and
- 5. Return Postcard

relating to the above application, were deposited as "Express Mail", Mailing Label No. EL791520183US with the United States Postal Service, addressed to Box MISSING PARTS, The Assistant Commissioner for Patents, Washington, D.C., 20231.

November 14, 200,

Date

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Sarah S. O'Rourke, Reg. No. 41,226

HOGAN & HARTSON LLP

1200 17th Street, Suite 1500

Denver, Colorado 80202

(720) 406-5385 Tel

(720) 406-5301 Fax

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FEE TRANSMITTAL

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Complete if Known

Application Number 09/943,158

Filing Date August 30, 2001

First Named Inventor David T. Bailey, et al

Examiner Name

Group / Art Unit 1614

Attorney Docket No. HAU234

TOTAL AMOUNT OF PAYMENT (\$)

NOV 1 4 2001

(\$)65.00

METHOD OF PAYMENT (check one)			FEE CALCULATION (continued)			
1. The Commissioner is hereby authorized to charge indicated		3. ADDITIONAL FEES				
Deposit	fees and credit any over payments to:	Large Entity	Small Entity			
Account Number	50-1123	Fee (\$)	Fee (\$)	Fee Description	Fee Paid	
Number		130	65	Surcharge - late filing fee or oath	65.00	
Deposit	Hogan & Hartson L.L.P.	50	25	Surcharge – late provisional filing fee or cover sheet		
Account Name	i	130	130	Non-English specification		
	Charge Any Additional Fee Required Under 37 CFR § § 1.16 and 1.17	2,520	2,520	For filing a request for ex parte reexamination		
	Applicant claims small entity status. See 37 CFR 1.27	920*	920*	Requesting publication of SIR prior to Examiner action		
2. 🖂	Payment Enclosed:	1,840*	1,840*	Requesting publication of SIR after		
(Check Money Other	110	55	Examiner action Extension for reply within first month		
	FEE CALCULATION	390	195	Extension for reply within second month		
1. BASIC FILING FEE		890	445	Extension for reply within third month		
Large Entity Fee (\$)	Small Fee Description Entity Fee Fee Paid (\$)	1,390	695	Extension for reply within fourth month		
710	355 Utility Filing Fee	1,890	945	Extension for reply within fifth month		
320	160 Design filing fee	310	155	Notice of Appeal		
490	245 Plant filing fee	310	155	Filing a brief in support of an appeal		
710	355 Reissue filing fee	270	135	Request for oral hearing		
150	75 Provisional filing fee	1,510	1,510	Petition to institute a public use proceeding		
		110	55	Petition to revive – unavoidable		
SUBTOTAL (1) (\$)		1,240	620	Petition to revive – unintentional		
2. EXTRA CLAIM FEES		1,240	620	Utility issue fee (or reissue)		
	Fee from Fee Paid Extra Claims below	440	220	Design issue fee		
Total Claims	-20**= X =	600	300	Plant issue fee		
Independent Claims	-3**= X =	130	130	Petitions to the Commissioner		
Multiple Dep	endent =	50	50	Processing fee under 37 CFR 1.17(q		
**or number pr	reviously paid, if greater; For Reissues, see below	180	180	Submission of Information Disclosure Stmt	* [
Large Entity	Small Entity	40	40	Recording each patent assignment per property (times number of		
Fee Fee Code (\$)	Fee Fee Description Code (\$)	710	355	properties) Filing a submission after final rejection (37 CFR § 1.129(a))		
103 18	203 9 Claims in excess of 20	710	355	For each additional invention to be		
102 80	202 40 Independent claims in excessof 3	710	355	examined (37 CFR §1.129(b)) Request for Continued Examination		
104 270	204 135 Multiple dependent claim, if not paid	900	900	Request for expedited examination of	f	
109 80	209 40 **Reissue independent claims over original patent	Other fee	(specify)	a design application		
110 18	210 9 **Reissue claims in excess of 20 and over original patent					
SUBTOTAL (2) (\$)			d by Basic Fl	ling Fee Paid SUBTOTAL (3)	(\$)65.00	
SUBMITTED BY Complete (if applicable)						
Name (Print/	Type) Sarah S. O'Rourke		istration No. orney/Agent)	41,226 Telephone	(720) 406-5385	
Signature Saich Okouske) Date Nov. 14, 2001						
City						

N Page 1 of 1 Sectory

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 2023I
www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/943.158

08/30/2001

David T. Bailey

HAU234

CONFIRMATION NO. 8638

FORMALITIES LETTER

OC00000006859100

Sarah O'Rourke Hogan & Hartson, LLP Suite 1500 1200 17th Street Denver, CO 80202

Date Mailed: 10/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/07/2001 BABRAHA1 00000029 09943158

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